

## I.III Records of checks

Fields marked with \* need to be filled in before the form can be submitted to the next level.

### I. Application context

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#### I.III. Records of checks (ref. EUTR Article 11)

Keeping records of checks is necessary for carrying out effective inspections and enforcement actions, and facilitates check planning and reporting, as well as for giving access to environmental information to the general public or upon request. Data related to checks under the EUTR kept in the records are considered environmental information. As a rule, access to environmental information has to be granted to anyone requesting it, unless this information falls under one of the exceptions to this rule, such as confidentiality of proceedings protected by law, ongoing proceedings, commercial information and personal data.

1 Please specify which of the information on the following duty holders (operators, traders, and monitoring organisations) is not retained in the Competent authorities (CA's) records. Of the information retained, indicate, which is made available under Article 11(2) and Directive 2003/04/EC on access to environmental information, (i.e. when none of the exceptions apply) and in which way:

	This information is <b>not retained</b> in the CA's record	This information is (partially) made publicly available	This information is (partially) made available only upon request	None of this information is made available due to restrictions under the provisions of <b>national legislation</b> going beyond the EU legislation
* Name and address of the company	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
* Reason for check (e.g. risks identified in check plan, proximity to other operator, substantiated concern, bi-annual check)	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
* Nature of the check /inspection (e.g. purely desk-based, desk-based and onsite, joint inspections)	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
* Concerns/ infringements identified during check (e.g. potential/confirmed placement of non-negligible risk timber on the market)	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
* Enforcement action decisions (e.g. Notice of Remedial Action or similar Interim measures, or penalties)	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>

* Name and address of the supplier company/ies ( <i>applies to operators and traders only</i> )	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
* Name and address of the buyer company/ies ( <i>applies to operators and traders only</i> )	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
* Name and address of operators using MO services ( <i>applies to MO's only</i> )	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other (please specify in comments below)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

### 3 Comments:

Ad column 1: According to the CA, no operators in Austria use MO services.

Ad column 3: Whether the records can be made available upon request is determined by the provisions of Directive 2003/4/EC and must be examined on a case-by-case basis. Data relating to administrative penalty proceedings are specially protected under data protection law (see in particular Art. 10 DSGVO).

Apart from the implementation acts for Directive 2003/4/EC, there are no national provisions on the accessibility to or publication of the CA's records of checks referred to in Art. 10 (1) EUTR.

Ad rows 6, 7 and 8 (name and adress...): This information is recorded by the imported timber CA but not by domestic timber CA.

### Contact

ENV-DECLARE@ec.europa.eu